

Applicant or Patentee: Jonathan D. Cowan et al. Attorney's  
Serial or Patent No.: Docket No.: ZH668/96001  
Filed or Issued:  
For: METHOD FOR DETERMINING AN INDIVIDUAL'S INTENSITY OF FOCUSED  
ATTENTION AND INTEGRATING SAME INTO COMPUTER PROGRAM

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) - SMALL BUSINESS CONCERN

I hereby declare that I am

☐ the owner of the small business concern identified  
below:

☒ an official of the small business concern empowered to  
act on behalf of the concern identified below:

NAME OF CONCERN: Mindwaves, Ltd.  
ADDRESS OF CONCERN: 1103 Hollendale  
Goshen, KY 40026

I hereby declare that the above identified small business concern  
qualifies as a small business concern as defined in 13 CFR  
121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying  
reduced fees under section 41(a) and (b) of Title 35, United  
States Code, in that the number of employees of the concern,  
including those of its affiliates, does not exceed 500 persons.  
For purposes of this statement, (1) the number of employees of  
the business concern is the average over the previous fiscal year  
of the concern of the persons employed on a full-time, part-time  
or temporary basis during each of the pay periods of the fiscal

**SECRET**

[x] the specification filed herewith

[ ] patent no. \_\_\_\_\_, issued \_\_\_\_\_

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required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL    ☐ SMALL BUSINESS CONCERN    ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL    ☐ SMALL BUSINESS CONCERN    ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these

statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Jonathan D. Cowan

TITLE OF PERSON OTHER THAN OWNER General Partner

ADDRESS OF PERSON SIGNING 1103 Hollendale, Louisville, KY 40026

SIGNATURE Jonathan D. Cowan DATE 2/13/78

**SECRET**

<u>none</u>				
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
<u>          </u>	<u>          </u>	<u>          </u>	<u>      </u>	<u>      </u>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

<u>none</u>	
(Application Number)	(Filing Date)
(Application Number)	(Filing Date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>n/a</u>		
(Appln. Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
(Appln. Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint James R. Higgins, Jr., Reg. No. 29,264, Charles G. Lamb, Reg. No. 24,783, James C. Eaves, Jr., Reg. No. 34,589, John F. Salazar, Reg. No. 39,353, and Daniel C. Stelter,

09026334-031998

Reg. No. 40,830 as my attorneys, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith, and I request that all communications concerning this application be addressed to James C. Eaves, Jr., Middleton and Reutlinger, 2500 Brown & Williamson Tower, Louisville, Kentucky 40202; telephone (502) 584-1135, or fax (502) 561-0442.

Full name of sole or first inventor: Jonathan D. Cowan

Inventor's signature *Jonathan D. Cowan* Date: 2/13/98

Residence: 1103 Hollendale, Goshen, Kentucky 40026

Citizenship: U.S.

Post Office Address: 1103 Hollendale, Goshen, Kentucky 40026

Full name of second joint inventor: Andrew J. Prell

Inventor's signature *Andrew J. Prell* Date: 2/13/98

Residence: 7341 Saint Andrews Church Road,  
Apartment 6, Louisville, Kentucky 40214

Citizenship: U.S.

Post Office Address: 7341 Saint Andrews Church Road,  
Apartment 6, Louisville, Kentucky 40214

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VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an  
independent inventor as defined in 37 CFR 1.9(c) for purposes of  
paying reduced fees under section 41(a) and (b) of Title 35,  
United States Code, to the Patent and Trademark Office with  
regard to the invention entitled METHOD FOR DETERMINING AN  
INDIVIDUAL'S INTENSITY OF FOCUSED ATTENTION AND INTEGRATING SAME  
INTO COMPUTER PROGRAM described in

- ☒ the specification filed herewith  
☐ application ser. no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under  
no obligation under contract or law to assign, grant, convey or  
license, any rights in the invention to any person who could not  
be classified as an independent inventor under 37 CFR 1.9(c) if  
that person had made the invention, or to any concern which would  
not qualify as a small business concern under 37 CFR 1.9(d) or a  
nonprofit organization under 37 CFR 1.9(e).



Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern or organization

☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.  
(37 CFR 1.27)

FULL NAME Mindwaves, Ltd.

ADDRESS 1103 Hollendale, Goshen, Kentucky 40026

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

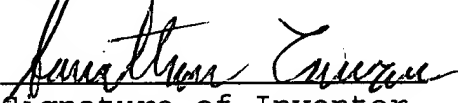
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application for patent, notification of any change in status resulting in loss of

entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

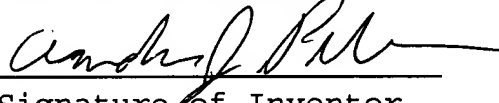
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Jonathan D. Cowan  
NAME OF INVENTOR

  
Signature of Inventor

2/13/98  
Date

Andrew J. Prell  
NAME OF INVENTOR

  
Signature of Inventor

2/13/98  
Date